

Attorney Docket No. 021756-061900US

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Powell, Kim, et al.Application No./Patent No.: 09/912,848 Filed/Issue Date: July 24, 2001Entitled: METHOD AND SYSTEM FOR IMPLEMENTING AUTOMATIC BID STATUS REFRESH AND ITEM ATTRIBUTE UPDATES IN AN ELECTRONIC EXCHANGE

Oracle International Corporation, a Corporation  
 (Name of Assignee) (Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
 (The extent (by percentage) of its ownership interest is \_\_\_\_\_%)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

**OR**

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Powell, Kim; Lyons, Liz; Stephens Dave; To :Oracle Corporation  
Gu, Ben; Wang, James; Benjamin, Kareem  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: Oracle Corporation To :Oracle International Corporation  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel 014865, Frame 0194, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To : \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at  
 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Sujit B. Kotwal/  
 Signature

Sujit Kotwal , Reg. No. 43,336  
 Printed or Typed Name

Attorney at Record  
 Title

October 16, 2008  
 Date

650-626-2400  
 Telephone Number

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: ORCL 2000-069-01

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the U.S. Patent and Trademark Office, Assignment Branch, North Tower Building, Suite 10C35, Washington, D.C., 20231, on the below date of deposit.			
Date of Deposit:	7/24/01	Name of Person Making the Deposit: Anthony Chou	Signature of the Person Making the Deposit: <i>Anthony Chou</i>

The Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:  
Please record the attached original document(s) or copy(ies) thereof:

## Transmittal of an Assignment

1. Name of conveying party(ies): KIM POWELL, LIZA LYONS, DAVE STEPHENS, KAREEM BENJAMIN, BEN GU, JAMES WANG  
 Serial No.: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_  
 Filed: 7/24/01 Examiner: \_\_\_\_\_
  
2. Name and Address of Receiving party(ies): ORACLE CORPORATION  
 500 ORACLE PARKWAY, REDWOOD SHORES, CA 94065
3. Nature of Conveyance: Assignment  
 Execution Date: 7/12/01, 5/2/01, 4/30/01, 4/25/01, 4/25/01, 4/25/01
  
4. New Patent Application entitled: A METHOD AND SYSTEM FOR IMPLEMENTING AUTOMATIC BID STATUS REFRESH AND ITEM ATTRIBUTE UPDATES IN AN ELECTRONIC EXCHANGE
  
5. Name and address of party to whom correspondence concerning document should be mailed:  
 Name: Glenn D. Barnes  
 Address: Wagner, Murabito & Hao LLP  
 Two North Market Street, Third Floor, San Jose, CA 95113  
 Phone: (408) 938-9060
  
6. Total Number of applications and patents involved: ONE
  
7. **Fee Calculation** (for other than a small entity)  
 Assignment Recordation Fee, per property 1 X \$40.00  
**Total Fees** (37 CFR 3.41) \$40.00  
 x The amount of \$ 40.00 is enclosed
  
8. At any time during the pendency of this application, please charge any additional fees required or credit any overpayments to Deposit Account 23-0085. A duplicate copy of this transmittal is enclosed.
  
9. Statement and signature:  

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Date: 7.24.01

**ORIGINAL SIGNED BY**  
 \_\_\_\_\_  
 Name Glenn D. Barnes  
 Reg. No. 42,293

Docket No.: ORCL-2000-069-01

**Assignment to Oracle Corporation**

In consideration of good and valuable consideration, receipt of which is hereby acknowledged, I/we

Kim Powell

do hereby sell, assign and transfer unto Oracle Corporation (hereinafter called Oracle), a Delaware Corporation having its principal place of business at 500 Oracle Parkway, Redwood Shores, California 94065, and its successors and assigns, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements, including the right of priority in, to, and under, the application for the United States patent entitled:

A METHOD AND SYSTEM FOR IMPLEMENTING AUTOMATIC BID STATUS REFRESH AND ITEM ATTRIBUTE UPDATES IN AN ELECTRONIC EXCHANGE

X filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefore; or

Serial No.: \_\_\_\_\_ filed on \_\_\_\_\_ and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefore;

Further, I/we have agreed to assign to Oracle all inventions (except as otherwise limited by law) which relate to Oracle business and which were first conceived or actually reduced to practice during my/our employment by Oracle;

And for the above consideration, I/we agree promptly upon request of Oracle, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to Oracle, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me/us in lending such cooperation and assistance are paid by Oracle;

I/we further covenant with Oracle, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned;

In witness whereof, I/we hereunto set my/our hand(s) and seal.

Inventor's Signature: x Kim Powell Date: x 7/12/2001

Docket No.: ORCL-2000-069-01**Assignment to Oracle Corporation**

In consideration of good and valuable consideration, receipt of which is hereby acknowledged, I/we

Liza Lyons

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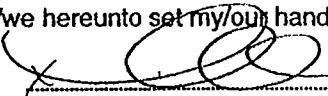
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In witness whereof, I/we hereunto set my/our hand(s) and seal.

Inventor's Signature: X 

Date: X 5/2/01

Docket No.: ORCL-2000-069-01

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Dave Stephens

do hereby sell, assign and transfer unto Oracle Corporation (hereinafter called Oracle), a Delaware Corporation having its principal place of business at 500 Oracle Parkway, Redwood Shores, California 94065, and its successors and assigns, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements, including the right of priority in, to, and under, the application for the United States patent entitled:

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Inventor's Signature: X  Date: X 9/30/01

Docket No.: ORCL-2000-069-01**Assignment to Oracle Corporation**

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Kareem Benjamin

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Inventor's Signature: X  Date: X 4/25/2001

**Assignment to Oracle Corporation**Docket No.: ORCL-2000-069-01

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Ben Gu

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Inventor's Signature: XDate: X4/25/2001

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James Wang

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Inventor's Signature: X

Date: 7/25/2001